



Government of Himachal

**R F D**

Results Framework Document

for

Urban Development

(2011-2012)

## Section 1: Vision, Mission, Objectives and Functions

### Vision

To facilitate creation of economically vibrant, inclusive, efficient, environment friendly and sustainable urban habitats.

### Mission

Act as facilitator and regulator to provide administrative and financial support to Urban Local Bodies to improve their municipal service delivery system and facilitation of quality urban infrastructure.

### Objective

- 1 To provide financial and technical support to Urban Local Bodies to facilitate creation of basic urban infrastructure including service delivery under various schemes/projects.
- 2 Capacity building of key stake holders including elected representatives for efficient urban management
- 3 Slum free city planning
- 4 Facilitating generation of employment by imparting skill development training to urban poor under SJSRY scheme
- 5 Promote roof top rain water harvesting system to reduce the use of potable water
- 6 To improve environment and sanitary conditions by providing solid waste management and sewerage system
- 7 Improve the financial conditions of the Urban Local Bodies through improved tax Collection

### Functions

- 1 Ensuring proper utilisation of grant in aid in accordance with the rules
- 2 Implementation of Centrally /state sponsored schemes
- 3 Scrutiny of development works estimates
- 4 Periodical inspection of Urban Local Bodies
- 5 Settlement of audit, PAC and CAG paras.
- 6 Election matters of Urban Local Bodies
- 7 Regulating proceedings of the Urban Local Bodies in accordance with the rules and regulations
- 8 Regulating finances of the Urban Local Bodies
- 9 Streamlining the tax structures of the Urban Local Bodies to improve their finances
- 10 Finalisation of draft model municipal building bye laws.

## Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success	Unit	Weight	Target / Criteria Value				
						Excelle	VeryGoo	Good	Fair	Poor
						100%	90%	80%	70%	60%
[1] To provide financial and technical support to Urban Local Bodies to facilitate creation of basic urban infrastructure including service delivery under various schemes/projects.	20.00	[1.1] Completion of Projects under JNNURM( Total Projects-7)	[1.1.1] Number of projects to be completed under JNNURM (SWM and Tunnel)	Number	3.00	2	1	0.50	0.25	0
			[1.1.2] %age of progress achieved in Ashiana-II	%age	2.00	50	45	40	35	30
			[1.1.3] Finalisation of concession agreements for 2 projects (WS and Sewerage)	Date	1.00	15/02/2012	28/02/2012	10/03/2012	20/03/2012	31/03/2012
		[1.2] Completion of of projects under IHSDP (Total Projects-8)	[1.2.1] %age of progress achieved in 3 projects	%age	3.00	40	35	30	25	20
		[1.3] Completion of projects under UIDSSMT (Total Projects-4)	[1.3.1] Number of projects to be completed under UIDSSMT	%age	2.00	100	90	80	70	60
			[1.3.2] %age of progress achieved in 2 projects	%age	1.00	50	45	40	35	30
		[1.4] Utilisatin of 13th FC special grant in aid	[1.4.1] Release of funds	%age	4.00	100	90	80	70	60
		[1.5] Implementation of Solid Waste Management( Total Projects-8)	[1.5.1] Completion of ongoing 4 projects	%age	1.00	45	40	36	32	28
			[1.5.2] Progress in ongoing 4 SWM projects	%age	1.00	40	35	30	25	20
		[1.6] Timely Release of funds to the ULBs as per budgetary provisions	[1.6.1] 80% Release of funds to the ULBs	Date	2.00	20/03/2012	25/03/2012	27/03/2012	29/03/2012	31/03/2012
[2] Capacity building of key stake holders including elected representatives for efficient urban management	15.00	[2.1] Training programmes for functionaries of ULBs and Directorate (Total -480)	[2.1.1] Number of Functionaries provided training	Number	6.00	100	90	80	70	60

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						100%	90%	80%	70%	60%
		[2.2] Training programme for elected representatives (Total-502)	[2.2.1] Number of elected representatives provided training	Number	6.00	80	70	60	50	40
		[2.3] Exposure visits to see the best practices prevailing in urban management	[2.3.1] Number of persons visited to best practices	Number	3.00	36	34	32	30	28
[3] Slum free city planning	10.00	[3.1] Completion of slum surveys in MC Shimla under RAY.	[3.1.1] Completion of Slum survey in the selected ULBs under RAY	Date	10.00	20/03/2012	25/03/2012	27/03/2012	29/03/2012	31/03/2012
[4] Facilitating generation of employment by imparting skill development training to urban poors under SJSRY scheme	10.00	[4.1] Provide support for self employment and imparting skill development training	[4.1.1] Provide skill development training under SJSRY	Number	5.00	131	120	110	100	90
			[4.1.2] Provide support for self employment	Number	3.00	103	90	80	70	60
			[4.1.3] Persons lifted above the poverty line by virtue of SJSRY	Number	2.00	40	30	20	10	0
[5] Promote roof top rain water harvesting system to reduce the use of potable water	10.00	[5.1] C/o rain water structures	[5.1.1] C/o rain water harvesting structures	%age	10.00	30	28	27	26	25
[6] To improve environment and sanitary conditions by providing solid waste management and sewerage system	10.00	[6.1] Completion of Sewerage Schemes	[6.1.1] Completion of Sewerage Schemes	Number	3.50	2	1	0	0	0
		[6.2] Completion of STPs	[6.2.1] Completion of Sewerage Treatment Plants	Number	3.50	5	4	3	2	1
		[6.3] Sewerage Connectivity	[6.3.1] Connectivity to be provided under completed schemes(Total capacity 36855 connections)	%age	3.00	54	53	52	51	50

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Objective	Weight	Action	Success	Unit	Weight	Target / Criteria Value				
						Excelle	VeryGoo	Good	Fair	Poor
						100%	90%	80%	70%	60%
[7] Improve the financial conditions of the Urban Local Bodies through improved tax Collection	14.00	[7.1] Realisation of property tax	[7.1.1] Realisation of property tax	%age	14.00	40	39	38	37	36
* Efficient Functioning of the RFD System	5.00	Timely submission of Draft for Approval	On-time submission	Date	2.0	12/08/2011	16/08/2011	17/08/2011	18/08/2011	19/08/2011
		Timely submission of Results	On-time submission	Date	1.0	01/05/2012	02/05/2012	03/05/2012	04/05/2012	07/05/2012
		Finalize a Strategic Plan	Finalize the Strategic Plan for next 5 years	Date	2.0	20/02/2012	24/02/2012	29/02/2012	05/03/2012	09/03/2012
* Improving Internal Efficiency / responsiveness /service delivery of Department	4.00	Develop RFDs for all Responsibility Centers (Subordinate Offices, Attached Offices, Autonomous Bodies,	Percentage of RCs covered	%	2.0	100	95	90	85	80
		Implementation of Sevottam	Create a compliant system to implement, monitor and review Citizen's / Client's Charter	Date	1.0	20/02/2012	24/02/2012	29/02/2012	05/03/2012	09/03/2012
			Create a Compliant system to redress and monitor public Grievances	Date	1.0	20/02/2012	24/02/2012	29/02/2012	05/03/2012	09/03/2012
* Administrative Reforms	2.00	Identify potential areas of corruption related to departmental activities and develop an action plan to mitigate them	Finalize an action plan to mitigate potential areas of corruption.	Date	2.0	10/12/2011	15/12/2011	20/12/2011	24/12/2011	31/12/2011

\* Mandatory Objective(s)

### Section 3: Trend Values of the Success Indicators

Objective	Action	Success	Unit	Actual Value	Actual Value	Target Value	Projected Value for	Projected Value for
				FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14
[1] To provide financial and technical support to Urban Local Bodies to facilitate creation of basic urban infrastructure including service delivery under various schemes/projects.	[1.1] Completion of Projects under JNNURM( Total Projects-7)	[1.1.1] Number of projects to be completed under JNNURM (SWM and Tunnel)	Number	0	1	2	2	2
		[1.1.2] %age of progress achieved in Ashiana-II	%age	0	10	50	90	100
		[1.1.3] Finalisation of concession agreements for 2 projects (WS and Sewerage)	Date	--	--	28/02/2012	--	--
	[1.2] Completion of of projects under IHSDP (Total Projects-8)	[1.2.1] %age of progress achieved in 3 projects	%age	0	10	40	90	100
	[1.3] Completion of projects under UIDSSMT (Total Projects-4)	[1.3.1] Number of projects to be completed under UIDSSMT	%age	0	0	1	1	2
		[1.3.2] %age of progress achieved in 2 projects	%age	0	20	45	90	100
	[1.4] Utilisatin of 13th FC special grant in aid	[1.4.1] Release of funds	%age	0	0	100	100	100
	[1.5] Implementation of Solid Waste Management( Total Projects-8)	[1.5.1] Completion of ongoing 4 projects	%age	0	0	40	30	30
		[1.5.2] Progress in ongoing 4 SWM projects	%age	0	10	40	90	100
	[1.6] Timely Release of funds to the ULBs as per budgetary provisions	[1.6.1] 80% Release of funds to the ULBs	Date	146	31/03/2011	20/03/2012	20/03/2013	--

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Objective	Action	Success	Unit	Actual Value	Actual Value	Target Value	Projected Value for	Projected Value for
				FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14
2 Capacity building of key stake holders including elected representatives for efficient urban management	[2.1] Training programmes for functionaries of ULBs and Directorate (Total - 480)	[2.1.1] Number of Functionaries provided training	Number	50	75	100	120	135
	[2.2] Training programme for elected representatives (Total-502)	[2.2.1] Number of elected representatives provided training	Number	50	70	80	100	120
	[2.3] Exposure visits to see the best practices prevailing in urban management	[2.3.1] Number of persons visited to best practices	Number	0	0	36	50	70
3 Slum free city planning	[3.1] Completion of slum surveys in MC Shimla under RAY.	[3.1.1] Completion of Slum survey in the selected ULBs under RAY	Date	0	0	31/03/2012	--	0
4 Facilitating generation of employment by imparting skill development training to urban poors under SJSRY scheme	[4.1] Provide support for self employment and imparting skill development training	[4.1.1] Provide skill development training under SJSRY	Number	30	28	131	150	170
		[4.1.2] Provide support for self employment	Number	37	54	103	110	120
		[4.1.3] Persons lifted above the poverty line by virtue of SJSRY	Number	10	20	40	50	60
5 Promote roof top rain water harvesting system to reduce the use of potable water	[5.1] C/o rain water structures	[5.1.1] C/o rain water harvesting structures	%age	20	25	30	35	40
6 To improve environment and sanitary conditions by providing solid waste management and sewerage system	[6.1] Completion of Sewerage Schemes	[6.1.1] Completion of Sewerage Schemes	Number	1	0	2	2	3
	[6.2] Completion of STPs	[6.2.1] Completion of Sewerage Treatment Plants	Number	3	0	5	4	6

### Section 3: Trend Values of the Success Indicators

Objective	Action	Success	Unit	Actual Value FY 09/10	Actual Value FY 10/11	Target Value FY 11/12	Projected Value for FY 12/13	Projected Value for FY 13/14
	[6.3] Sewerage Connectivity	[6.3.1] Connectivity to be provided under completed schemes (Total capacity 36855)	%age	42	47	54	60	65
[7] Improve the financial conditions of the Urban Local Bodies through improved tax Collection	[7.1] Realisation of property tax	[7.1.1] Realisation of property tax	%age	30	35	40	45	50
* Efficient Functioning of the RFD System	Timely submission of Draft for Approval	On-time submission	Date	--	--	16/08/2011	--	--
	Timely submission of Results	On-time submission	Date	--	--	02/05/2012	--	--
	Finalize a Strategic Plan	Finalize the Strategic Plan for next 5 years	Date	--	--	24/02/2012	--	--
* Improving Internal Efficiency / responsiveness /service delivery of Department	Develop RFDs for all Responsibility Centers (Subordinate Offices, Attached Offices, Autonomous Bodies,	Percentage of RCs covered	%	--	--	95	--	--
	Implementation of Sevottam	Create a compliant system to implement, monitor and review Citizen's / Client's Charter	Date	--	--	24/02/2012	--	--
		Create a Compliant system to redress and monitor public Grievances	Date	--	--	24/02/2012	--	--
* Administrative Reforms	Identify potential areas of corruption related to departmental activities and develop an action plan to mitigate them	Finalize an action plan to mitigate potential areas of corruption.	Date	--	--	15/12/2011	--	--

\* Mandatory Objective(s)

### Section 3: Trend Values of the Success Indicators

Objective	Action	Success	Unit	Actual Value FY 09/10	Actual Value FY 10/11	Target Value FY 11/12	Projected Value for FY 12/13	Projected Value for FY 13/14

\* Mandatory Objective(s)

**Section 4:**  
**Description and Definition of Success Indicators**  
**and Proposed Measurement Methodology**

ULB- Urban Local Bodies

RAY-Rajiv Awas Yojna

RAY is a new GOI scheme under which preparatory activities are to be carried out by year 2013 for which Rs.63.84 lacs have been released.

Municipal Corporation Shimla is being covered under RAY

JNNURM-Jawahar Lal Nehru Urban Renewal Facility

IHSDP-Integrated Housing and Slum Development Programme.

UIDSSMT-Urban Infrastructure Development Scheme for Small and Medium Towns

Implementation of JNNURM Projects in H.P.

Sr. No	Name of Component	(Rs. in lacs)			
		Project Cost	Funds Received/Released		
			Central Share	State Share	Total
I	UIG -5 projects (Auckland Tunnel, SWM, WSS, Sewerage and purchase of buses)	16083.25	4092.58	1012.5	5105.1
II	BSUP -2 projects (Ashiana-I &II)	2400.55	456.65	129.92	586.57
III	IHSDP-8 Projects (Hamirpur, Dharamshala, Solan, Parwanoo, Baddi, Nalagarh, Sundernagar, Sarkaghat)	7203.89	2435.44	820.76	3256.2
IV	UIDSSMT-4 projects (Mandi, Hamirpur, Dharamshala, Sarkaghat)	2035.51	1180.86	311.8	1492.66

SJSRY-Swaran Jayanti Shahari Rojgar Yojna is a Centrally Sponsored scheme

STPs-Sewerage Treatment Plant

26 Projects are sanctioned under Sewerage scheme

16 projects are completed having 36850 connections.

HPMC Act, 1994- Himachal Pradesh Municipal Act, 1994

HPMC Act, 1994-Himachal Pradesh Municipal Corporation Act, 1994.

SWM-Solid Waste Management

SWM projects funded under 12th FC (Rs in lacs)

<b>Sr No.</b>	<b>Name of ULB</b>	<b>Est. Cost</b>	<b>Amount Sanc.</b>
1	M.C.Mandi	108.75	108.25 (by CPCB)
		325.00	70.00
2	M.C.Sundernagar	132.52	70.00
3	M.C.Paonta	71.90	51.29
4	M.C.Solan	160.00	160.00
5	M.C.Nalagarh	71.00	53.33
6	M.C.Parwanoo	257.00	108.77
7	N.P.Ghumarwin	143.18	90.00
8	M.C.Palampur	85.85	85.85

Due to public resentment and litigation in Paonta, Nalagarh and Palampur the work is not being executed.

There will be delay in execution of works.

Lack technical know how in the ULBs.

HIMUDA-Himachal Pradesh Urban Development Authority

IPH-Irrigation and Public Health Department.

TCP Deptt-Town and Country Planning Department.

WSS-Water Supply schemes

**Section 5:**  
**Specific Performance Requirements from other Departments**

Department	Success indicator	What do you need it	Why do you need	How much you need	What happens if you do not get it
All the line Departments/agencies/local residents	JNNURM, UIDSSMT, IHSDP, 13 <sup>th</sup> FC, SWM and release of funds.	Technical support, finances and timely completion of the project related activities. Support from local residents for undergoing SWM projects	The line departments/agencies are associated with these programmes.	As per project activity timelines	Delay in completion of projects and their reporting resulting delay in release of funds.
HIPA and empanelled training agencies	Capacity Building and exposure visit	Training co-ordination and slots	To impart training to the elected representatives and functionaries.	As per requirement	The training can not be imparted
MC Shimla	Slum Survey under RAY	Conduct of survey	To prepare slum free city planning	As per the guidelines of RAY	Scheme can not be implemented.
Urban Local Bodies and other line departments/agencies	Skill development training and self employment under SJSRY	Selection of the beneficiaries.	To impart training for self employment	As per SJSRY guidelines	Target can not be achieved.
Urban Local Bodies and other line departments/agencies	Roof top C/o rain water harvesting	To meet out the mandatory requirement.	To save the potable water	As per requirement of HPMC Act, 1994	Potable water can not be saved

IPH Department, Urban Local Bodies and other line Departments	Sewerage system	IPH Department is the executing and maintaining the sewerage systems	To give clean and sewage free environment to the citizens.	It is essential for all the human habitation	Sewerage schemes can not be completed
Urban Local Bodies	Collection of property tax	To improve the collection	To improve the financial position of the ULBs	As per provisions of the Act	ULB will not be financially self resilient

## Section 6: OutCome/Impact of Department/Ministry

OutCome/Impact of Department/Minist	Jointly responsible for influencing this outcome / impact with the following department (s) /	Success Indicator	FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14
1 Provide financial and technical support to Urban Local Bodies to facilitate creation of basic urban infrastructure.	ULBs, IPH, Revenue, Forest, HIMUDA, TCP,	Better city infrastructure including transportation, water supply, Sewerage and housing through various projects. No. of cases of water borne diseases should reduced. By providing SWM systems, other diseases should reduced in the cities. By providing street lights, crime per thousnad population shoud reduce. By providing better road facilities accidents per thousand population shoud reduce . Improvement in collection of water charges, sewerage and property tax.	0	1	4	8	14
2 Capacity Building	HIPA, ULBs and empanneled agencies.	Empowerment of Urban Voter participation	125	150	180	200	220
3 Slum free city planning	ULBs	The slum population increase rate will reduced.	0	0	1	1	1
4 Generation of employment	ULBs and other line departments and empannelled agencies	Better quality of life by providing support for self employment and skill training to the urban poor.	67	82	234	260	290
5 Roof Top rain water harvesting	ULBs and TCP Deptt.	Improved RWH system(%age) resulting decrease in use of potable water and saving in energy consumption	20	25	30	35	40

## Section 6: OutCome/Impact of Department/Ministry

OutCome/Impact of Department/Minist	Jointly responsible for influencing this outcome / impact with the following department (s) /	Success Indicator	FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14
6 Sewerage system in ULBs	IPH and ULBs	Improved sewerage system and to provide pollution free and clean environmmnet in ULBs. No. of cases of water borne diseases should reduce.	1	0	2	2	3
7 Collection of property tax	ULBs	Improvement in %age tax collection system	30	35	40	45	50